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How tropical Pacific surface cooling contributed to accelerated sea ice melt from 2007 to 2012 as ice is thinned by anthropogenic forcing

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How tropical Pacific surface cooling contributed to accelerated sea ice melt from 2007 to  
2012 as ice is thinned by anthropogenic forcing

A thesis submitted in partial satisfaction of the  
requirements for the degree Master of Arts in Geography

by

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The thesis of Ian Takeo Baxter is approved.

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## **Abstract**

How tropical Pacific surface cooling contributed to accelerated sea ice melt from 2007 to 2012 as ice is thinned by anthropogenic forcing

Ian Takeo Baxter

Over the past 40 years the Arctic sea ice minimum in September has declined. The period between 2007 and 2012 showed accelerated melt contributed to the record minima of 2007 and 2012. Here, observational and model evidence shows that the changes in summer sea ice since the 2000s reflects a continuous anthropogenically forced melting masked by interdecadal variability of Arctic atmospheric circulation. This variation is partially driven by teleconnections originating from sea surface temperature (SST) changes in the east-central tropical Pacific via a Rossby wave train propagating into the Arctic (hereafter referred to as the “Pacific-Arctic teleconnection (PARC)”), which represents the leading internal mode connecting the pole to lower latitudes. This mode has contributed to accelerated warming and Arctic sea ice loss from 2007 to 2012, followed by slower declines in recent years, resulting in the appearance of a slowdown over the past 11 years. A pacemaker model simulation, in which we specify observed SST in the tropical eastern Pacific, demonstrates a physically plausible mechanism for the PARC mode. However, the model-based PARC mechanism is considerably weaker and only partially accounts for the observed acceleration of sea ice loss from 2007 to 2012. We also explore features of large-scale circulation patterns associated with extreme melting periods in a long (1800-yr) CESM preindustrial simulation. These results further support the role of remote SST forcing originating from the tropical Pacific in exciting significant warm episodes in the Arctic. However, further

research is needed to identify the reasons for model limitations in reproducing the observed PARC mode featuring a Cold Pacific - Warm Arctic connection.

## 1. Introduction

The Earth has warmed significantly over the past 40 years and the fastest rate of warming has occurred in and around the Arctic (Serreze and Barry 2011; Bintanja et al. 2011; Vaughan et al. 2013; Fyfe et al. 2013; Cohen et al. 2014; Perlwitz et al. 2015; Screen and Francis 2016). The warming of northern high latitudes at a rate of almost twice the global average, known as Arctic amplification, is associated with sea ice loss, glacier retreat, permafrost degradation and expansion of the melting season (Post et al. 2013; Overland et al. 2013; Stroeve et al. 2014, Notz and Stroeve, 2016). Since the mid-2000s, summer sea ice has exhibited a rapid decline reaching the record minima in September sea ice area in 2007 and 2012. However, after the early 2010s, the downward trend of minimum sea ice area appears to decelerate (Swart et al. 2015). This apparent slowdown, and the preceding acceleration in the rate of sea ice loss are puzzling in light of a steadily increasing rate of greenhouse gas emissions of 2 ppm per year in the past decade that provides a steady climate forcing. Internal variability of the climate system (Kay et al. 2011; Day et al. 2012; Ding et al. 2014, 2017, 2019; Meehl et al. 2018) or stabilizing feedbacks generating a temporary recovery (Tietsche et al. 2011) are likely explanations.

Recent studies suggest that over the past four decades low-frequency internal climate variability may have been as important as anthropogenic influences on observed Arctic sea ice decline (Kay et al. 2011; Wettstein and Deser 2014; Zhang 2015; Screen and Francis 2016; Stroeve and Notz 2018). Ding et al. (2017, 2019) suggests that a large part of the observed sea ice decline in recent decades is linked to a trend in the Arctic atmospheric circulation that is partially due to internal variability. This internally driven circulation trend, driving a barotropic high-pressure anomaly in the Arctic, has warmed and moistened

the Arctic atmosphere, increased clear-sky downwelling longwave radiation, and accelerated sea ice melt during boreal summer. A possible mechanism contributing to these changes in Arctic circulation is a teleconnection generated by low frequency variability in sea surface temperatures (SST) and lower latitude convection (Lee et al. 2012; Ding et al. 2014; Trenberth et al. 2014; Lee et al. 2017; Meehl et al. 2018; Bevis et al. 2019). However, until only recently, studies focusing on the role of internal variability in modulating recent sea ice loss have primarily targeted processes within the Arctic (Lee et al. 2011; Lee 2012; Cvijanovic et al. 2017). In this paper, we focus on the increased sea ice loss from 2007-2012 and examine the role of teleconnections between the Arctic and tropical SSTs in explaining this change. We hypothesize that accelerated melt during this period, followed by a period where the impact of this mode weakens, creates the appearance of a decade long “pause” in summer sea ice loss. An improved understanding of the drivers and responses in this teleconnection has important implications for the interpretation of recent Arctic climate change and future projections, including answering the question of when we will see the first ice-free summer in the Arctic. Improvements in decadal prediction of Arctic sea ice also hinge on the identification of remote sources of internal variability (Mahlstein and Knutti 2012; Overland et al. 2012; Jahn et al. 2016; Swart 2017; Screen 2018).

## **2. Data and Methods**

### *2.1 Reanalysis and Sea Ice Data*

We calculate changes in atmospheric circulation, temperature, humidity and longwave radiation flux from 1979-2017 using reanalysis data from ERA-Interim (Dee et al. 2011).



Sea surface temperatures are obtained from ERSSTV5 (Huang et al. 2017) and precipitation is from the Global Precipitation Climatology Project (GPCP; Huffman et al. 2009). Sea ice area and extent are derived from the National Snow and Ice Data Center (NSIDC) consisting of monthly data from November 1978 to the present (Cavalieri et al. 1996; Fetterer and Knowles 2004). Sea ice area is first calculated for each ocean grid as the product of ice concentration and grid element area. The total sea ice extent is then calculated as the sum of the area of all grid cells containing greater than 15% sea ice concentration. We use this total sea ice area and extent to measure the sea ice change in the past four decades. In this study, we focus on changes of sea ice area in the past 40 years given the reliability of satellite data in measuring sea ice area change.

## *2.2 CMIP5, CESM-LENS and MPI-Grand Ensemble*

We use monthly sea ice area from 40 realizations and 100 realizations, each with slightly perturbed initial conditions, from the historical and future projection (RCP8.5) experiments of the CESM Large Ensemble Community Project (LENS) (Kay et al. 2015) and MPI Grand Ensemble (hereafter Grand-Ens, Giorgetta et al. 2013), respectively. We also examine the 27 climate models (Table 1) in the CMIP5 database (Taylor et al. 2012) to estimate the sea ice response to historical and future external forcing (RCP8.5). We focus our analysis on the historical satellite era from 1979 to 2017 to allow comparison of ensemble means of simulated sea ice area trends with trends from observations. We also use an 1800-year CESM preindustrial simulation (Kay et al. 2015) to understand large scale circulation features associated with extreme Arctic sea ice melting episodes in the absence of anthropogenic forcing.

### 2.3 Model Experiments

Additionally, we perform experiments using the ECHAM4.6 atmospheric general circulation model, at horizontal resolution of T42 ( $\sim 2.8^\circ$  latitude  $\times$   $2.8^\circ$  longitude) with 19 vertical levels (Roeckner et al. 1996). We couple the ECHAM4.6 to a slab ocean (Ding et al. 2014), whereby the ocean is represented as boxes of water of uniform specified depth (50 m). A simple, thermodynamic-only sea ice model is included when the ocean temperature reaches the freezing point. The ocean temperature or sea ice condition at each grid point is affected only by heat exchange across the air–sea interface; there is no direct communication between adjacent ocean grid points, nor is there any representation of the deep ocean. In addition, a cyclostationary climatological heat flux is added to the ocean temperature tendency equation to maintain a seasonal cycle of ocean temperature and sea ice condition that is close to that observed in the present day (1979-1999). Two pacemaker experiments are conducted with a specification of SST in the tropical east-central Pacific (“ECP” hereafter, see also Fig. 8b) and SST are interactively generated elsewhere by coupling with the slab ocean. In the control run, we place 1979-2017 (climatological) observed monthly SST (with a 12-month annual cycle) derived from ERSST in the ECP domain ( $145^\circ\text{E}$ - $285^\circ\text{E}$ ,  $25^\circ\text{S}$ - $30^\circ\text{N}$ ). To examine the impacts of tropical ECP SST in regulating recent circulation change in the Arctic, we specify 6-yr (2007-2012) averaged monthly SST in the pacemaker domain. Anthropogenic forcing is fixed at a constant level in both experiments. We integrate the two runs for 40 years individually and then calculate the difference of the two simulations using the last 30 years, with the first 10 years discarded as a spin-up. Since individual years are largely independent, the individual years are equivalent to ensemble members and the 30-year averages are equivalent to ensemble

means. Differences between the control and SST-anomaly run then allow us to assess the impact of SST anomalies during 2007-2012. We conduct two additional experiments, using the same 40-yr pacemaker methodology, and expanding the domains of our prescribed SST to the entire tropics and the North Pacific.

#### *2.4 Maximum Covariance Analysis*

Maximum Covariance Analysis (MCA) is used to determine the primary co-varying patterns of Arctic atmospheric circulation and tropical SSTs. MCA analysis uses singular value decomposition of a covariance matrix between (June-July-August) JJA 200 hPa geopotential height and SSTs (Bretherton et al. 1992; Wallace et al. 2012). The leading patterns calculated using this method show the time series and spatial patterns of the two fields that are optimally coupled.

#### *2.5 Wave activity analysis*

Wave activity analysis (Plumb 1985) is used to reveal stationary Rossby wave energy propagation. The wave flux vector provides direct information on the flux of wave activity, which is parallel to the group velocity of quasi-stationary Rossby waves. This diagnostic tool is well suited to detecting propagating large-scale, quasi-stationary Rossby waves.

#### *2.6 Significance of correlation*

The statistical significance of the correlation coefficient accounts for the autocorrelation in the time series by using an “effective sample size”  $N^*$  (Bretherton et al. 1999):

$$N^* = N \frac{1 - r_1^2}{1 + r_1^2} \quad (1)$$

where  $N$  is the number of available time steps and  $r_1$  and  $r_2$  are lag-one autocorrelation coefficients of each variable. A confidence level of 95% is used in this study to determine the significance of correlations and composites. We also conduct a Monte-Carlo test to re-check the statistical significance of the calculated correlations (Livezey and Chen 1983). In this test, 1000 white-noise sea ice time series spanning 39 years are generated and correlated with JJA SST from 1979 to 2017. The 1000 correlations are sorted in increasing order at each ocean grid point and the mean of their 97.5<sup>th</sup> and 2.5<sup>th</sup> percentiles are used as the correlation threshold at 95% confidence level. This new threshold at each grid point will be used along with the thresholds determined by the first method (whichever is greater) to determine the significance of correlations between observed September sea ice with JJA SST.

### **3. Prominent summer warming and sea ice melting in the Arctic from 2007 to 2012**

#### *3.1 Changes in the September total sea ice area*

September total sea ice area over the past four decades has exhibited an overall decline of -0.77 million km<sup>2</sup> per decade, which is faster than the simulated sea ice decline in the ensemble means of CMIP5 (-0.55 million km<sup>2</sup> per decade), LENS (-0.6 million km<sup>2</sup> per decade) and Grand-Ens (-0.48 million km<sup>2</sup> per decade). The observed decline exhibits varying rates of change from decade-to-decade that are not seen in the ensemble means of the simulations (Fig. 1a), indicating a strong interdecadal component of internal sea ice change (Winton 2011; Rosenblum and Eisenman 2017). In particular, the observed trend over the most recent epoch, 2007 to 2017, shows a near-zero trend (+0.04 million km<sup>2</sup> per decade) (Fig. 1a). The recent slowdown of the trend was previously recognized by Swart

et al. (2015), examining only 2007 to 2013, and is shown here to persist into 2017 and even into 2018 (3.56 million km<sup>2</sup>) (Fig. 1a).

To better understand internal variability of sea ice change since 1979, we calculate the observed rate of change over all possible decadal periods longer than 10 years between 1979 and 2017 using a moving window (Fig. 1b). In this way, we can objectively find the slowest and fastest interdecadal variations of sea ice in the past 39 years over any possible time length and examine why they behave differently. There are two prominent periods of sea ice decline seen in the past four decades that differ the most in terms of the sea ice change rate in each period: a 11-yr period of the slowest decline with near-zero trend from 2007 to 2017 and a 12-yr period of the strongest decline from 2001 to 2012. The two periods overlap from 2007 to 2012, which was a period with particularly little summer sea ice including two-record minimum sea ice area years in 2007 and 2012. It is this period (2007-2012) of low summer sea ice area that causes the strongly contrasting trend magnitudes between the two-decade long periods (2001-2012 vs 2007-2017). In fact, any trends that include this period are dominated by the enhanced melting over this six-year period (Fig. 1b). 2007-2012 is also the key episode where the trends of the ensemble means from climate simulations, our best representation of the anthropogenically forced signal, diverge the most from the observed total sea ice area index (Fig. 1a). We therefore focus on this six-year period and attempt to understand the mechanisms that brought about its low summer sea ice area. To put this period into the context of the last 39 years, we split the entire record into three periods (1979-2006, 2007-2012, and 2013-2017). We then calculate trends of atmospheric variables and SST during the first period and compare them with the anomalies (vs 39-year climatology) during 2007-12 and 2013-2017 to examine

how the patterns in the subsequent two periods enhance or mask long term trends from 1979 to 2006.

### *3.2 Local Arctic atmospheric changes in summer*

The summertime (JJA) 200hPa geopotential height (Z200) around Greenland and downward longwave radiation (DLR) in the Arctic exhibit a very coherent temporal variation with the change of total September sea ice area over the past 39 years on both year-to-year and low-frequency time scales (Fig. 1a and 2a). Consistent with sea ice decline, Z200 and DLR (the signs of Z200 and DLR are reversed in Fig. 1a and 2a) also peak from 2007 to 2012, following a period of steady increase from the 1990s to 2006. Z200 and DLR then decline or show near-zero trend after 2012. Examining the spatial patterns of Z200 and sea ice changes (third row in Fig. 1) in the three periods shows that the largest height increase occurred from 2007 to 2012 over the region extending from Greenland toward the North Pole, coinciding with accelerated sea ice melt in the Russian side of the basin. Then, in the past five years since 2012, summer warming in the Arctic is mostly limited to areas south of 70°N and even exhibits a cooling tendency at 600 hPa at the northern most latitudes (Fig. 1, bottom row). Although September sea ice area is smaller than the 39-year average during the recent 5 years (2013-2017), the reduction rate of sea ice area is much weaker (+0.29 million km<sup>2</sup>) than that from 2007 to 2012. Zonal mean vertical profiles of JJA geopotential height, temperature, and humidity also exhibit a strong increase during 2007-2012 over the Arctic. A return of the vertical structure to the pattern that dominated from 1979 to 2006, and even slightly negative geopotential anomalies in the central Arctic, is then observed in the most recent period (2013-2017; Fig. 1). Sea ice variability over the three periods therefore maps onto the previously identified mechanism

by which variability of Arctic atmospheric circulation, as well as resulting humidity and downwelling longwave radiation, affects September sea ice minima (Ding et al. 2017; Wernli and Papritz 2018).

The local mechanism linking the summertime Arctic atmosphere and September sea ice is highlighted by the time series of detrended sea ice, Z200 and DLR and the correlation between detrended JJA zonal mean atmospheric variables and September total sea ice area (Fig. 2) for the 39-year period. These correlations highlight the strong connection between September sea ice and the preceding JJA upper level geopotential height ( $r \approx 0.6$ ), tropospheric temperature ( $r \approx 0.7-0.8$ ), and humidity ( $r \approx 0.7-0.8$ ). These atmospheric variables are so closely related to sea ice because the large-scale circulation patterns, such as barotropic high pressure over the Arctic, can cause strong adiabatic descent of air that warms the lower troposphere and increases its ability to hold more moisture within the Arctic. This combination of a warmer and more humid atmosphere increases downward longwave radiation and leads to increases in sea ice melt as well as favor sea ice drift out of the basin (Ding et al. 2017; Yang and Magnusdottir 2018; Olonscheck et al. 2019).

### *3.3 Global circulation and tropical SST and precipitation changes*

Different lines of evidence suggest that Arctic circulation changes in the past three to four decades partly have tropical origins (Ding et al. 2014; Trenberth et al. 2014; Meehl et al. 2018; Screen and Deser 2019). Fig. 3 shows the linear trend of JJA Z200, sea surface temperature, precipitation and upper level divergence trends in the NH from 1979 to 2006 and the anomalies from 2007 to 2012 and 2013 to 2017. SST trends from 1979 to 2006 show a basin-wide warming across the Pacific, except in the ECP, accompanied by

decreased precipitation, upper tropospheric convergence over the eastern Pacific and a circumglobal-type wave train propagating along the midlatitude westerly jet (Fig. 3a-b). This circumglobal wave feature is suggested to be partly a result of Arctic amplification in summer (Coumou et al. 2018). During 2007-2012, SST cooling emerged over the ECP, likely contributing to strong local reductions in precipitation and also upper level convergence, which may act as a significant Rossby wave source generating a great circle-like wave train from the tropics to the Arctic and thus the prominent high pressure anomaly over the Arctic (Fig. 3c-d). After 2013, SST anomalies in the tropics returned to a state with warming almost everywhere (Fig. 3e-f). Correspondingly, the upper level circulation over the tropical eastern Pacific exhibits an increased precipitation and divergence anomaly with the midlatitude circulation pattern returning to that characterized by a strong wavy feature along the jet and near-zero Z200 anomalies in the Arctic.

This global map of Z200, tropical SST, precipitation, and upper level divergence suggests that the change of September sea ice in the recent decade and its association with JJA Arctic atmospheric conditions (Fig. 1) is partially tied to a shift of the large-scale circulation pattern originating from the tropics, rather than a feature that is confined to the Arctic and extratropics. In particular, the strong melting period from 2007 to 2012 is enhanced by a tropically excited wave train. After 2012, the tropical SST pattern reversed over the ECP. Thus, the momentum originating from the tropics that helped to set up an Arctic circulation pattern conducive to sea ice melt from 2007 to 2012 appears to be absent in recent years.



## 4. Tropical-Arctic teleconnection in the past four decades

### 4.1 Correlation between September sea ice and JJA global SST/precipitation

Further evidence of the tropical-Arctic teleconnection is established by a correlation map between detrended JJA SST/precipitation and September total sea ice area (Fig. 4). Three methods (observed linear and quadratic trends and simulated historical trends derived from the ensemble average of 27 models in CMIP5) are used to remove long-term trends in all SST, precipitation and sea ice data to assess the sensitivity of results to the use of each trend-fitting method. The detrended data without the observed linear trends shows significantly related ( $>95\%$  confidence level) SSTs over the northeast Pacific along the coast of North America and extending to the ECP. Removal of the observed quadratic trends, considering accelerating trends with time (Dirkson et al. 2017), shows a stronger connection over the same area. Using the ensemble mean of 27 CMIP5 models to estimate and remove long term trends due to anthropogenic forcing from observed sea ice and SST yields a very similar correlation pattern (Fig 4c) between detrended September sea ice and JJA SST reaching significant correlation of around 0.5-0.6 over the ECP. The correlation plots with JJA precipitation are qualitatively consistent with those using SST, showing a patch of positive correlation over the ECP (Fig 4d-f).

### 4.2. MCA analysis between Arctic circulation and tropical SST in JJA

A more rigorous search of leading tropical-Arctic teleconnections in JJA is performed through an MCA between tropical SST and Arctic circulation. Fig. 5 shows the leading coupled mode of co-variability between detrended (linear-fit) JJA Z200 in the Arctic (north of  $60^{\circ}\text{N}$ ) and simultaneous tropical SST within  $30^{\circ}\text{S}$  and  $30^{\circ}\text{N}$ . An elongated area of high

pressure extending from Greenland to Siberia is closely coupled with a tropical SST pattern consisting of cooling SST and decreased precipitation over the ECP but warming over the rest of the ocean basins. The high pressure over the Arctic is related to Rossby wave train propagation from the Pacific toward Greenland and the Arctic. The Rossby wave energy propagation associated with the wave train appears to originate from the ECP where a clear baroclinic Gill-type response associated with the SST pattern indicates a significant role of tropical SST cooling and decreased convection over the ECP in driving the Rossby wave train toward the Arctic. Hereafter, this tropical SST-Arctic circulation linkage will be referred to as the PARC teleconnection (Fig. 5), and the positive phase of the PARC mode refers to high pressure in the Arctic coupled with an ECP SST cold anomaly. The SST and Z200 patterns forming the leading MCA mode over the 1979-2017 period exhibit a similarity with the anomalous circulation patterns that coincided with the fastest period of sea ice decline during 2007-2012 (see Fig. 3c-d) and show a pronounced shift around 2013 (Fig. 3e-f). The MCA Z200 time series is correlated with the detrended September sea ice index ( $r = 0.61$ , Fig. 5e), suggesting that the JJA Z200 mode revealed here can indeed lead to subsequent sea ice anomalies in September. The time series of the MCA modes also indicate that a similar PARC teleconnection may have caused another climate shift in the Arctic from 1992 to ~2000 (Fig. 5e), which can be seen in trends of JJA Z200 and SST during that period (Fig. 6). During those nine years, a quick switch of tropical SST toward a cooling trend over the ECP (Fig. 6b) appears to correspond with an abrupt height rise and atmospheric warming and moistening in the Arctic (Fig. 6c). September sea ice decline also shows a slight intensification during this period relative to the preceding years. However, due to circumstances likely related to the sea ice condition, the response is not

as strong as that from 2007 to 2012 (Fig. 1a). A similar MCA using detrended (linear-fit) monthly mean fields also shows a similar coupling pattern year-round (Fig. 7). Thus, these MCA results are evidence suggesting changes in the NH large-scale circulation are in part being driven by SSTs in the tropics and that the PARC mode represents the leading internal teleconnection linking the tropics with the Arctic, in summertime as well as throughout the year.

The SST component of the PARC shows a striking resemblance with the Pacific Decadal Oscillation (PDO) (Screen and Francis 2016; Screen and Deser 2019) and Interdecadal Pacific Oscillation (IPO) (Mantua et al. 1997; Henley et al. 2015). A number of recent studies have also found that Arctic sea ice changes have coincided with other primary modes of Pacific decadal variability, but most have focused on winter teleconnections (Screen and Francis, 2016; Meehl et al. 2018; Screen and Deser 2019). The spatial pattern of the leading SST mode, obtained here from MCA, reinforces the connection between tropical Pacific SSTs and the Arctic, showing a similar pattern to the PDO and IPO. The PDO and IPO indices also show phase shifts in the late 1990s and early 2010s that coincide with shifts in the time series of the PARC (Fig. 5e). To further illustrate this connection, we find correlations ( $r=0.54$  and  $0.63$ ) between the time series of our MCA1 SST mode with the detrended PDO index derived from Wills et al. (2018) and the IPO index constructed by NOAA/ESRL (Henley et al. 2015). The high correlation between these two modes and the PARC is primarily the result of their overlapping SST domains in the ECP, where the strongest correlation between SST and Arctic sea ice is found (Fig. 4). While variability in these modes clearly maps onto the proposed mechanism, we suggest that through the use of the PARC, which by definition focuses on the teleconnection between

Pacific SSTs and Arctic sea ice, a better understanding of the key processes driving tropical-Arctic teleconnections can be achieved.

## **5. Simulated circulation responses to tropical SST change from 2007 to 2012 in the pacemaker experiment**

Through our observational analysis, we find that the abrupt melting from 2007 to 2012, associated with a shift to high pressure over the Arctic driven by tropical ECP SST anomalies, is likely a contributor to the appearance of a slowdown in the sea ice decline over the past 10 years. To establish the causal connection from tropical ECP SST to the Arctic circulation anomaly, we examine the difference between 2007-2012 and 1979-2017 pacemaker experiments (Fig. 8b). Forcing the model with observed tropical ECP SST generates a northeastward propagating Rossby wave train with high pressure in the Arctic (Fig. 8b). This pattern is similar (pattern correlation of 0.44 within the Arctic) to the observed PARC teleconnection (Fig. 8a) and suggests that ECP SST plays an important role in generating the observed PARC teleconnection from 2007 to 2012. However, the simulated height increase in the Arctic (70°N to 90°N) shows a weaker magnitude (~12 m) and a somewhat different pattern than the observed pattern (~31 m), indicating model limitations and contributions from other factors that are not fully accounted for in our experiments. Though weak, the model does well to capture the observed zonal mean tropospheric structure, with a barotropic geopotential height rise and near surface warming and moistening centered over the Arctic (Fig. 9 vs. Fig. 1). The commonalities and differences are best illustrated using a polar projection (Fig. 9) that shows that the model

generates a center of an upper level height rise that is comparably placed with observations but does not fully replicate the very large increase seen from 2007 to 2012 and its extension into the Arctic. The ensemble mean of the sea ice response from our pacemaker experiment is also weaker at about 30~40% of the observed response. A possible explanation for the weaker than observed sea ice response is that, because we couple ECHAM4.6 with a slab ocean model, our experiment only reflects sea ice's responses to thermodynamic effects of the overlaying atmosphere, though thermodynamic and dynamic (wind) forcing tend to contribute in roughly equal parts to Arctic sea ice variability (Fig. 9f). It should also be noted that the use of a slab ocean model, which does not account for dynamic ocean heat transport as would a fully dynamic ocean model, could also be playing a role in the weak pacemaker response to tropical forcing. However, an examination of the ensemble spread (Fig. 9c) shows that some ensemble members have JJA Z200 and September sea ice response magnitudes as large as observed and feature a strong pattern resemblance with observed Z200 anomalies over the 2007-2012 period. The distribution of these Arctic-averaged height values is Gaussian in both the control and forced simulations (Fig. 9d), but the tropical Pacific SST-driven distribution is shifted towards high geopotential heights in the Arctic. Almost double the number of cases with the higher geopotential heights and few cases with negative values suggests a cooler ECP favors enhanced Arctic warming. Taking the two members from the tropical SST-forced simulation with Arctic geopotential height rise greater than observed provides a pattern that closely matches the observations (Fig. 10). Thus, the very large observed height rise during the 2007-2012 event was likely a case in which tropical forcing was superimposed onto other internally-driven factors.

One factor possibly playing an important role in the prominence of the observed PARC pattern in our experiment is the Arctic Oscillation (AO), which was negative for several summers from 2007 to 2012 (L'Heureux et al. 2010). It is known that the AO or NAO is the leading internal circulation mode in the Arctic and it is sensitive to remote tropical forcing (Hoerling et al. 2001; Ding et al. 2014; Trenberth 2014). However, models have trouble simulating the correct relationship between the AO and tropical forcing (L'Heureux et al. 2008; Ding et al. 2019). A recent study (Liu et al. 2018) further suggests that the circulation pattern that is optimal for Arctic warming, such as a negative AO phase, could own an origin from an intrinsic mode excitation in the high latitudes, tending to be efficiently forced by an oceanic flux in the eastern equatorial Pacific. It is very likely that the relationship between the AO and tropical forcing is not well captured in the pacemaker experiments and may be an additional cause of some of the discrepancies between our experiment and observations. Nevertheless, the driving mechanism for this teleconnection, a wave train propagating from the ECP to the Arctic with a high-pressure center over Greenland is replicated in our simulation. To investigate the sensitivity of the ECP region in our pacemaker experiment, we conduct several additional experiments, extending the SST pacemaker domain to the entire tropics (30°S to 30°N) or the whole Pacific from 30°S to 60°N. The simulations of Z200 anomalies during 2007-2012 in the Arctic are not significantly changed compared to the simulation only forced by SST in the ECP. This result suggests that SST changes in the ECP have a stronger effect on Arctic circulation changes relative to the rest of the tropics and that the ECP is uniquely capable of driving the Pacific-Arctic teleconnections.

## **6. A tropical-driven teleconnection favoring extreme sea ice melting in a long preindustrial simulation**

Because we only use a slab-type thermodynamic ocean and sea ice model in our pacemaker experiment, a fully coupled model with a capability to resolve dynamic and thermodynamic responses of ocean and sea ice to atmospheric variability is needed to further explore the sensitivity of Arctic sea ice to local and remote atmospheric forcing. Thus, we make use of the CESM's preindustrial simulation to examine dominant atmospheric circulation patterns favoring enhanced sea ice melting. The main purpose of this examination is to identify the typical local and global circulation patterns that are associated with significant sea ice melting periods in this long simulation and to further explore whether such circulation patterns own a tropical origin and share a similarity with the PARC in observations. Since anthropogenic forcing is not specified in this experiment, sea ice variability is only driven by the model's internal variability.

To find analogs to the 2007-2012 period of accelerated sea ice loss in this long-term simulation, we use a similar “fast minus slow” composite approach from Ding et al. (2019), but rather than examining the spread, we focus only on the fast melting group. We partition the 1800-year CESM preindustrial simulation into a pseudo-ensemble of 300 non-overlapping 6-year periods and calculate the total September sea ice area (Fig. 11a). 6-year mean September sea ice area in the long preindustrial simulation exhibits a long-term average around 6.5 million km<sup>2</sup>, which is similar in magnitude to the observed values during the early 1980s (~5.9. million km<sup>2</sup>). We use the 95<sup>th</sup> percentile as a threshold to select the 15 episodes with the lowest total September sea ice area. The mean of these 15 low ice periods is -0.59 million km<sup>2</sup> below the long-term average, closely matching the

observed 2007-2012 anomalies calculated from the detrended anomalies in Fig. 2 ( $-0.57$  million  $\text{km}^2$ ). This makes the ensemble of the 15 low ice periods a reasonable simulated analog for the observed fast melting period from 2007 to 2012. The composite patterns of September sea ice anomalies associated with the 15 lowest sea ice episodes (Fig. 11b) show a strong resemblance to the observed pattern from 2007 to 2012, though the anomaly is shifted from the Beaufort-Chukchi sector towards the Laptev Sea. We then obtain the composites of JJA Z200 and zonal-mean geopotential height, temperature and specific humidity based on the 15 cases, revealing the internal local Arctic atmospheric patterns most favorable for enhanced September sea ice loss (Fig. 11). Within the Arctic, the composite patterns show a consistent barotropic high pressure structure with the observations and pacemaker experiment, characterized by increased geopotential heights centered between 200 and 400 hPa over the pole and warming and moistening near the surface. However, just like in the pacemaker experiment, the composite pattern has a weaker than observed upper level height rise over the Arctic ( $\sim 12$  to  $16$  m).

In the global composite map of Z200, SST and precipitation (Fig. 12), a wave train originating from the tropical Pacific is clearly present. Rossby wave energy inducing the barotropic high pressure in the Arctic appears to primarily propagate into the Arctic through the Pacific sector. In the tropics, a narrow band of warm SST and related enhanced precipitation are observed along the equator across the entire Pacific. This warm SST anomaly seems to be the key source driving the teleconnection emanating from the tropical-extratropical North Pacific toward the Arctic. This analysis confirms our findings in the observational analysis and pacemaker run that tropical SST forcing in the Pacific is essential to excite a poleward propagating Rossby wave train and barotropic high pressure



in the Arctic that further favors strong sea ice melting. However, it should be noted that the phase of the simulated tropical-Arctic connection in the CESM is opposite to that associated with the observed PARC and that in the pacemaker experiment. In addition, Rossby wave energy in the CESM, originating from the tropical Pacific, primarily enters the Arctic through the Pacific sector, rather than near Greenland. It is unclear to what extent these differences can be attributed to the use of a slab ocean model opposed to a fully coupled model or the use of ECHAM opposed to CAM. Going forward, further intermodel comparison and hierarchy experiments, focusing on the PARC, may be needed to tease apart the relative contributions of these factors. Despite these discrepancies in the simulated teleconnection patterns in the CESM, this result reinforces the idea that tropical Pacific SST forcing can act as an internal source of variability contributing to accelerated September sea ice loss via Rossby wave propagation from the Pacific to the Arctic and the observed PARC could be generated and maintained through similar Rossby wave dynamics.

## **6. Conclusion and Discussion**

In this paper, we show observational evidence, supported by modeling experiments, that a recent shift in tropical Pacific SST, driving a PARC teleconnection propagating into the NH high latitudes, is partially responsible for a strong melting period from 2007 to 2012. From 1979 to the early 2000s, the tropical SST pattern features a warming trend everywhere except over the ECP, accompanied with a circumglobal teleconnection pattern along the jet. During 2007 to 2012, the aforementioned SST pattern became even stronger,

resulting in an enhanced PARC teleconnection with accelerated Arctic warming and sea ice melting. From 2013 to 2017, the SST pattern flips sign over the ECP, weakening the PARC circulation contribution to the Arctic warming and sea ice melting. These changes originating in the tropics seem to be capable of modulating CO<sub>2</sub>-driven atmospheric warming and sea ice melting by strengthening the anthropogenic signal from 2007 to 2012. The slowdown in September sea ice loss from 2007 to 2017 is likely in part due to a transition from a situation in which the tropics reinforced anthropogenic forcing to a return to the long-term trend associated with anthropogenic forcing.

It remains unclear how this tropical SST mode, that helps to determine the rate of sea ice loss, will evolve in the future. The persistence of the current slowdown in the September sea ice area trend is highly dependent on future changes in tropical SST along with the continuation of anthropogenic climate change. Interdecadal tropical SST variability in the future is therefore a prominent source of uncertainty in projections of Arctic sea ice and climate. Another source of model uncertainty arises from model limitations in capturing the PARC teleconnection in summer. For example, the observed tropical-Arctic relationship, between a cold (warm) ECP, warm (cold) Arctic and fast (slow) sea ice decline is opposite to that simulated by the CESM (Ding et al. 2019, Fig. 11 and 12). In the CESM, an IPO-like internal tropical SST change featuring an ECP warming trend is suggested to favor accelerating sea ice melting, contributing to melting from anthropogenic forcing, in the coming decades (Screen and Deser 2019). However, this scenario is not consistent with what we have found in observations: an ECP SST change toward a warming phase of the IPO after 2012 is associated with a slowdown of sea ice loss. This conflict is likely due to the opposite phase relationship of the PARC-like mode in observations

relative to the CESM (Fig. 12). Another possibility are differences in the time scales investigated by Screen and Deser (2019), who examine 20+year trajectories, while the reversal from the PARC-driven accelerated melting in the observational record is only 7 years long. Variation of the PARC mode across other models needs to be examined in future research.

In our view, this limitation of the CESM in replicating the PARC is possibly due to the nature of the PARC's formation, which is summarized in Fig. 13 and based on this work and several previous studies (Ding et al. 2017, 2019; Wernli and Papritz 2018; Meehl et al. 2018; Screen and Deser 2019). Changes in convection originating from IPO- or PDO-like variations in Pacific SST force Rossby wave propagation from the tropics to the Arctic. This connection is particularly prominent from 2007 to 2012, as cold SST anomalies in the ECP can generate decreases in tropical convection and upper level divergence, resulting in a wave train structure with a barotropic high pressure system over northeast Canada and Greenland that extends into the Arctic. Friction near the surface creates ageostrophic winds that, in the case of anticyclonic motion, diverge outward and create increased large-scale subsidence in the lower troposphere. As the air descends it adiabatically warms and thus emits more longwave radiation that contributes to enhanced sea ice melting. The warmer air also holds more moisture, favoring an additional source of increased downwelling clear-sky longwave radiation to further melt sea ice. The cloud radiative response to the summertime PARC is less certain, but a decrease in cloud cover resulting from large-scale subsidence likely increases downwelling shortwave radiation and can modulate downwelling longwave radiation depending on low cloud changes.

To replicate this chain of physical mechanisms establishing the PARC requires that all individual components be realistically simulated, posing a great challenge to the modeling community (Ding et al. 2019). Considering these limitations and challenges, our experiment (Fig. 8), with observed SST specified in the ECP and a simple ocean and sea ice model used everywhere else, only represents a qualitative test of the PARC mechanism. On the other hand, the CESM long preindustrial simulation shows that tropical Pacific forcing is critical in determining Arctic sea ice variability through a Rossby wave train, though the CESM demonstrates a different phase relationship than the observed tropical SST cooling coinciding with Arctic warming (Fig. 12). Future modeling studies with improved models that own a better skill to replicate the observed tropical-Arctic connection are necessary to more quantitatively understand the linkage from Pacific SST to Arctic sea ice and its related mechanisms. It is also suggested that ECP SST changes are sensitive to SST variability over other ocean basins (Vimont et al. 2003; Cai et al. 2019) and may be subject to feedbacks associated with sea ice melting in winter (Deser et al. 2016; Cvijanovic et al. 2017; Sun et al. 2018). Thus, the PARC mode proposed here only illustrates a direct tropical-Arctic linkage in summer time.

In addition, we cannot rule out the possibility that the recent slow rate of sea ice decline may in part be due to a stabilizing feedback of the Arctic climate system. Such a stabilizing feedback is suggested to cause a 2-year recovery of sea ice after an imposed strong sea ice anomaly (Tietsche et al. 2011). Further efforts are necessary to tease apart the stabilizing effects on the recent slowdown from that tropically forced PARC mechanism.

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**Table 1.** 27 climate models in the CMIP5 historical+RCP8.5 experiments. List of 27 CMIP5 CGCMs used to examine the forced response of the climate system to anthropogenic and natural external forcing, along with the number of atmospheric horizontal grids.

CMIP5 model designation	nx	ny
1. ACCESS1-0	192	144
2. ACCESS1-3	192	144
3. bcc-csm1-1	128	64
4. bcc-csm1-1-m	320	160
5. CanESM2	128	64
6. CCSM4	288	192
7. CESM1-CAM5	288	192
8. CSIRO-Mk3-6-0	192	96
9. FGOALS-g2	128	60
10. GFDL-CM3	144	90
11. GFDL-ESM2G	144	90
12. GFDL-ESM2M	144	90
13. GISS-E2-H	144	89
14. GISS-E2-H-CC	144	89
15. GISS-E2-R	144	89
16. HadGEM2-CC	96	73
17. HadGEM2-ES	192	144
18. inmcm4	180	120
19. IPSL-CM5A-MR	144	143
20. IPSL-CM5B-LR	96	96
21. MIROC-ESM	128	64
22. MIROC-ESM-CHEM	128	64
23. MIROC5	256	128
24. MPI-ESM-LR	192	96
25. MPI-ESM-MR	192	96
26. NorESM1-M	144	96
27. NorESM1-ME	144	96

## Figure caption list

**Fig. 1.** (a) The first row shows NSIDC September sea ice area index (1979-2017, unit: million km<sup>2</sup>, black line) and its linear trend from 2007 to 2017 (blue line), simulated September sea ice area index from CMIP5, LENS and Grand-Ensemble (1979-2017, unit: million km<sup>2</sup>, grey lines), and observed ERA-I JJA Z200 (unit: m, the sign of Z200 is reversed) over Greenland (denoted as the black dot in the left panel of the third row) and ERA-I JJA surface DLR (unit: Wm<sup>-2</sup>, the sign of DLR is reversed) in the Arctic averaged over the area north of 70°N. All simulated September sea ice area indices are shown beginning at the same initial area as observations for visual comparison. (b) The second row shows all possible linear trends 11 years (denoted by triangles) or longer in NSIDC Arctic September sea ice area (blue dots, unit: million km<sup>2</sup> per decade). The time axis indicates the starting year of decadal-long periods. The period with the fastest decline is 2002-2012 and the slowest decline is observed from 2007-2017. The third row shows the linear trends in ERA-I JJA Z200 (contour, unit: m per decade) and September sea ice concentration (shading, unit: % per decade) for (left panel) 1979-2006 and the average from (middle panel) 2007-2012 and (right panel) 2013-2017 minus the 39 year (1979-2017) long-term average. The fourth row shows the zonal mean latitude-vertical profile of ERA-I JJA linear trends of height (red/dashed blue contour, unit: m/decade), temperature (shading, unit: °C per decade) and humidity (purple contour, unit: g per kg per decade) for (left) 1979-2006 and anomalies from the long term (1979-2017) average for 2007-2012 (middle) and (right) 2013-2017.

**Fig. 2.** (a) Detrended September total sea ice area index (solid black line, unit: million km<sup>2</sup>), JJA Z200 over Greenland (dashed red line, unit: m) and JJA DLR over the Arctic (dashed purple line, W per m<sup>2</sup>) from 1979 to 2017 derived from Fig.1a. Sign of JJA Z200 and DLR are reversed. Correlation of detrended JJA zonal mean (b) geopotential height, (c) temperature and (d) specific humidity with detrended September total sea ice area index from 1979 to 2017.

**Fig. 3.** Upper panels show the linear trend in ERA-I JJA (a) Z200 (unit: m per decade) and (b) JJA SST from ERSST (shading, unit: °C per decade), 200 hPa velocity potential (red solid/blue dashed contour, unit: 10<sup>6</sup> m<sup>2</sup>/s per decade) and precipitation (black/purple solid contours, unit: 0.5 mm per decade, purple contours indicate decreased precipitation) for 1979-2006. Middle panels show the 2007-2012 average minus the 1979-2017 long term average in (c) JJA Z200 (unit: m) and (d) JJA SST (shading, unit: °C), 200 hPa velocity potential (red/ dashed blue contour, unit: 10<sup>6</sup> m<sup>2</sup>/s) and precipitation (black/purple contours, unit: 1mm per decade). Lower panels show the 2013-2017 average minus the 1979-2017 long term average in (e) JJA Z200 (unit: m) and (f) JJA SST (shading: °C), 200hPa velocity potential (red/dashed blue contour, unit: 10<sup>6</sup> m<sup>2</sup>/s) and precipitation (black/purple contours, unit: 1 mm per decade). Positive velocity potential (red contour) indicates a convergence. Thin black contours indicate positive precipitation trends and anomalies.

**Fig. 4.** Correlation map of (a,d) linearly and (b,e) quadratically detrended JJA sea surface temperatures (upper panels) and GPCP precipitation (lower panels) with September sea ice area from 1979-2017. Correlation map with the (c,f) ensemble mean CMIP5 forced trends removed from both JJA SST/precipitation and the September total sea ice area index. Stippling indicates statistically significant correlation at the 95% confidence level (See 2.6).

**Fig. 5.** Leading Maximum Covariance Analysis mode from 1979-2017 between detrended ERA-I (a) JJA 200 hPa geopotential height in the Arctic (60°N-90°N) and b) JJA SST in the tropics (30°S-30°N, shading) and their corresponding time series (e). In (b), contours indicate JJA Z200 regressed onto the first SST mode time series. Arrow vectors in (b) represent Plumb wave flux activity associated with the regressed Z200 pattern. The first mode accounts for 48% of the co-variance and the correlation between the time series of the Z200 and SST mode is 0.66, which is statistically significant at the 95% confidence level. (c) Regressed September sea ice concentration pattern associated with the leading Z200 mode. (d) Regressed JJA Z850 (contours, unit: m) and precipitation (shading, unit: mm/day) pattern associated with the leading SST mode. Gray stippling indicates statistically significant regressed (c) sea ice and (d) precipitation values at the 95% confidence level. (e) Leading MCA1 Z200 (black bars), MCA1 SST (blue line) time series and total September sea ice area (red bars, sign reversed). We define the PARC as MCA1 shown here.

**Fig. 6.** The linear trend in (a) ERA-I JJA 200hPa geopotential height (unit: m per decade) for 1992-2000. The linear trend in (b) ERSST JJA SST (shading, unit: °C per decade) and GPCP precipitation (thin contours, unit: 1 mm per decade) for 1992-2000. Black contours indicate positive precipitation trends. The linear trend in (c) ERA-I JJA zonal mean geopotential height (shading, unit: m per decade), temperature (red contours, unit: °C per decade) and specific humidity (purple contours, unit: g per kg per decade) for 1992-2000.

**Fig. 7.** Same as Fig. 5 but showing detrended monthly 200 hPa geopotential height in the Arctic (60°N-90°N, shading in (a) and tropical SST (30°S-30°N, shading in b) from 1979-2017 and their corresponding time series (c). Shown are the spatial projections for monthly Z200 regressed onto the first SST mode time series (contour in b). Arrow vectors in (b) represent Plumb wave flux activity associated with the regressed Z200 pattern. The climatological annual cycles and linear trends are removed first from the two fields before the MCA calculation. The first mode accounts for 58% of the co-variance and the correlation between the time series of the Z200 and SST mode is 0.36, which is statistically significant at the 5% confidence level considering that the effective sample size (based on Eq. 1) is around 200 monthly values. In (c), the dark black curve is a three-month running mean of the monthly time series of MCA1-Z200 (gray).

**Fig. 8.** a) Observed JJA 200 hPa geopotential height (unit: m, upper panel) and precipitation anomalies (unit: mm/day, lower panel) for 2007-2012 minus the 1979-2017 long-term average. (b) Same as (a) but for JJA 200 hPa geopotential height and precipitation response from the pacemaker experiment (07-12 vs 79-17). The difference is calculated as the 30-year average of the two experiments (07-12 minus 79-17). The dashed, black box (145°E-285°E, 25°S-30°N) indicates the region where observed SSTs were

prescribed to force the model. Stippling in (b) indicates statistically significant differences at the 95% confidence level by the two-sample student T test.

**Fig. 9.** (a) Observed Z200 anomalies from 2007 to 2012 (same as in Fig. 1). (b) Same as Fig 8b, showing JJA 200hPa geopotential height response from the experiment (07-12 vs 79-17). The difference is calculated as the 30-year average of the two experiments (07-12 minus 79-17). (c) JJA Z200 and September sea ice anomalies (minus 30-yr average of 79-17 run) averaged within the Arctic (70°N-90°N) from each year (Z200: gray triangle; sea ice: gray cross) in 07-12 run. The black and red triangles/crosses denote the 30-year average in the 07-12 run and observed value in ERA-I/NSIDC, respectively. (d) The probability density function (PDF) of 30-yr JJA Z200 responses (minus 30-yr average of 79-17 run) in the Arctic (70°N -90°N) in 07-12 and 79-17 runs. The numbers along the X-axis indicate the intervals of the PDF. For example, -5/5 indicates the bin with JJA Z200 response varying between -5m and 5m. The observed JJA Z200 anomaly (07-12 minus 79-17) is denoted by the dashed red line over the interval between 25m and 35m. (e) Zonal mean latitude-vertical profile of difference in geopotential height (red contours, m), temperature (shading, unit: °C) and specific humidity (purple contours, unit: kg/kg) between the two experiments (07-12 minus 79-17). (f) September sea ice concentration response (unit: %) from the experiments (07-12 minus 79-17).

**Fig 10.** Simulated Z200 height response (07-12 minus 79-17, unit: m) from the two members in the pacemaker experiment with the strongest Arctic-averaged Z200 (70°N - 90°N).

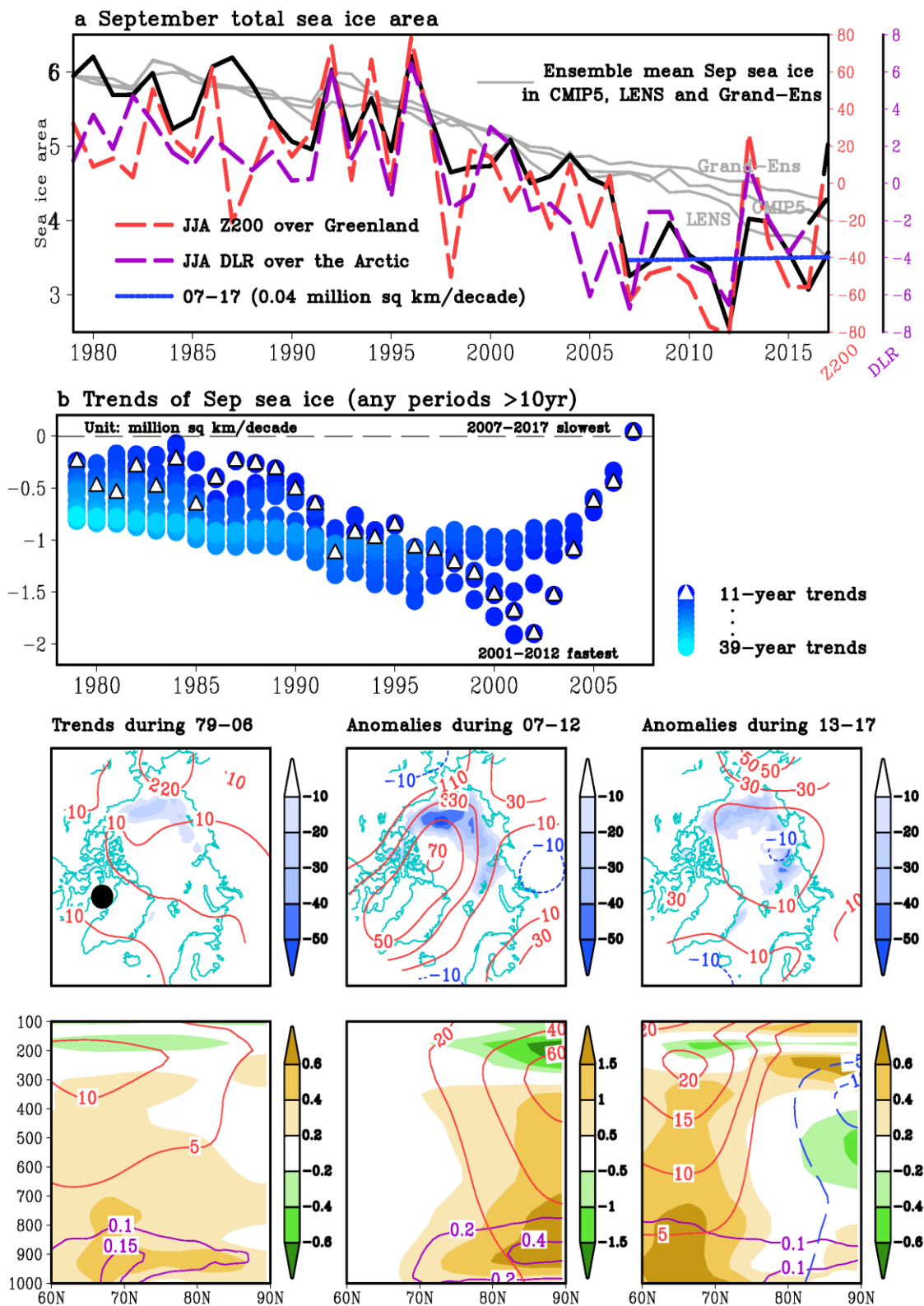
**Fig. 11.** (a) The top panel shows the 300 non-overlapping 6-year means of total September sea ice area from the 1800-year CESM preindustrial control run. Red dots denote the 15 periods with the lowest six-year mean total September sea ice area, identified using a 95-percentile threshold. The bottom panels show the corresponding (b) September sea ice concentration anomalies (blue shading, unit: %), (c) Z200 anomalies (red contour, unit: m) and (d) Zonal mean latitude-vertical profile of geopotential height (red contour, unit: m), temperature (shading: °C) and specific humidity (purple contour, unit: g per kg) relative to the 300-period mean. All plotted values from (b) to (d) are significant at the 95% confidence level based on the one-sample T test.

**Fig. 12.** The top panel shows Z200 (contours and shading, unit: m) and Plumb wave activity flux (vectors) from the 15 lowest 6-yr sea ice area periods in the CESM preindustrial control run. The 15 periods are shown in Fig. 11a. The bottom panel shows sea surface temperature (shading, unit: °C) and precipitation (red/blue contour, unit: 0.3 mm per day) from the same 15 periods as the top panel. All plotted values are significant at the 95% confidence level based on the one-sample T test.

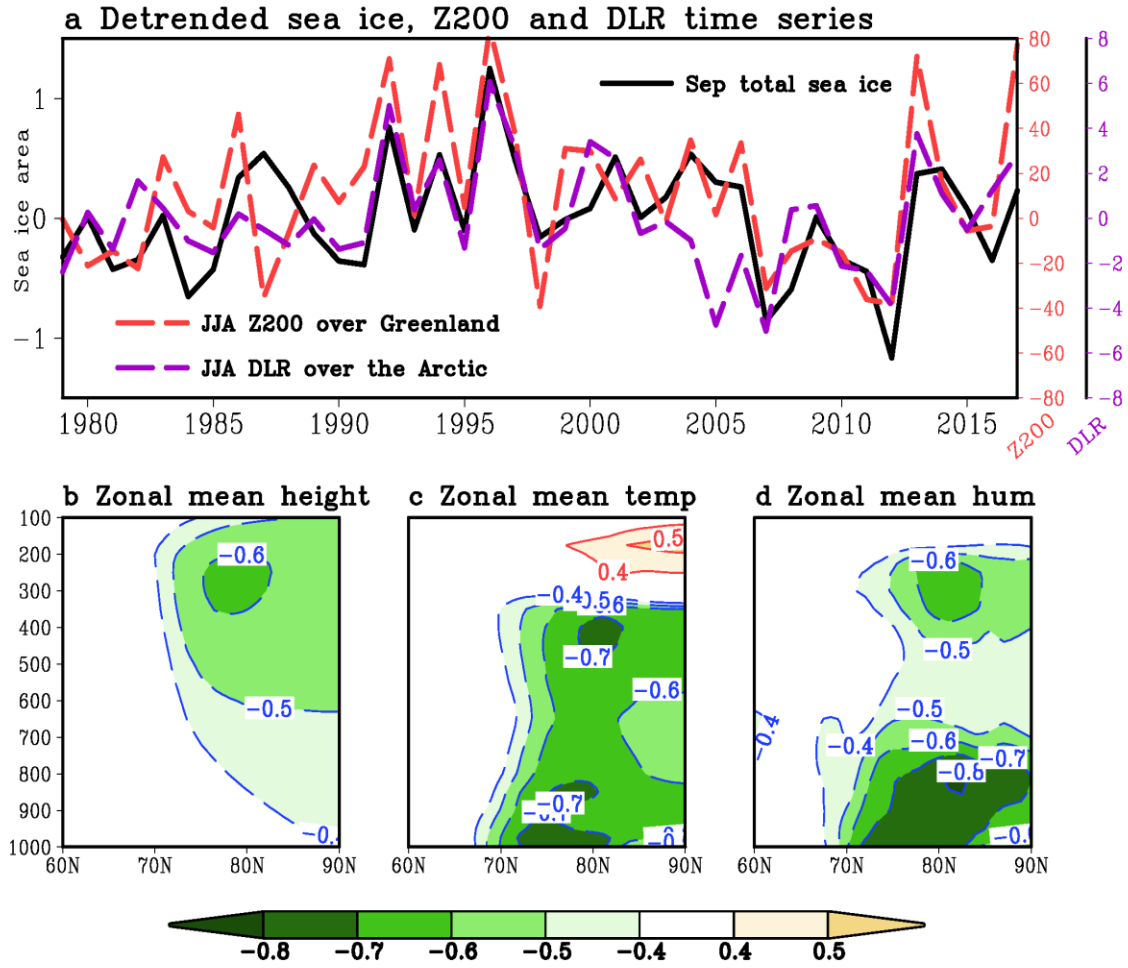
**Fig. 13.** A schematic diagram summarizing the formation mechanism of the PARC. Shading shows the leading SST mode derived from MCA (derived from Fig. 5b), exhibiting a SST cooling over the ECP. The formation of the positive phase of the PARC could be understood as an integrated process originating from the tropics: (1) cool SST anomalies in the eastern-central Pacific lead to (2) reduced local convection; Reduced convection generates anomalous upper level divergence, that further creates (3) barotropic Rossby wave train propagation along a great circle path from the tropical Pacific to the

Arctic, favoring a (4) barotropic anticyclone centered over northeastern Canada and Greenland and extending into the Arctic over the sea ice; (5) At the surface, friction causes anticyclonic winds (denoted as black thin arrows in the Arctic) to diverge outward, leading to downward movement of air to conserve mass; (6) As the air descends, it adiabatically warms, allowing for it to hold more moisture and (7) the combination of warming and moistening leads to increased emission of longwave radiation (denoted as thin red arrows above sea ice). (8) Melting of sea ice is primarily driven by this emission of longwave radiation resulting from a combination of warming and moistening of the lower Arctic atmosphere through the generation of the PARC.

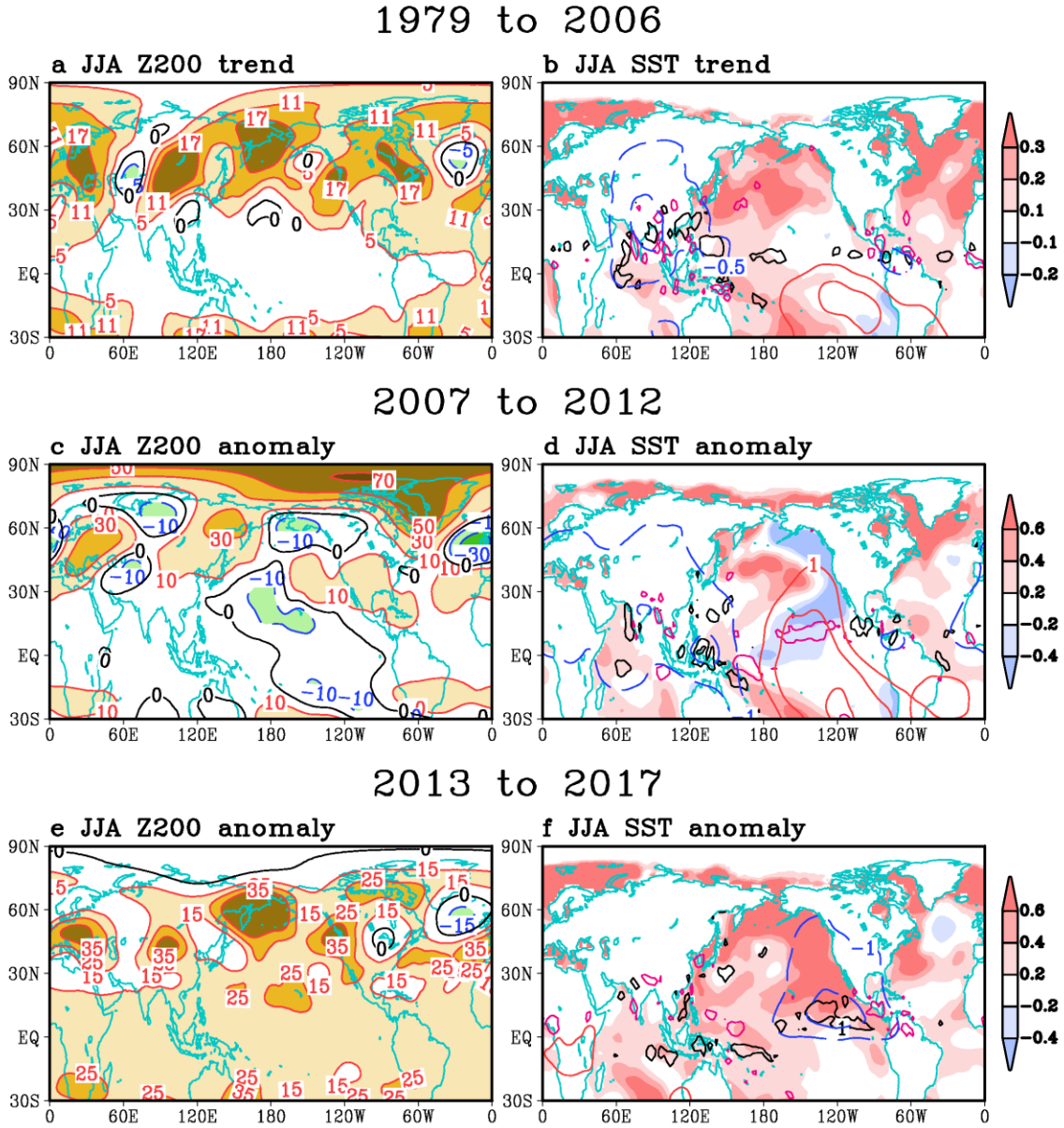




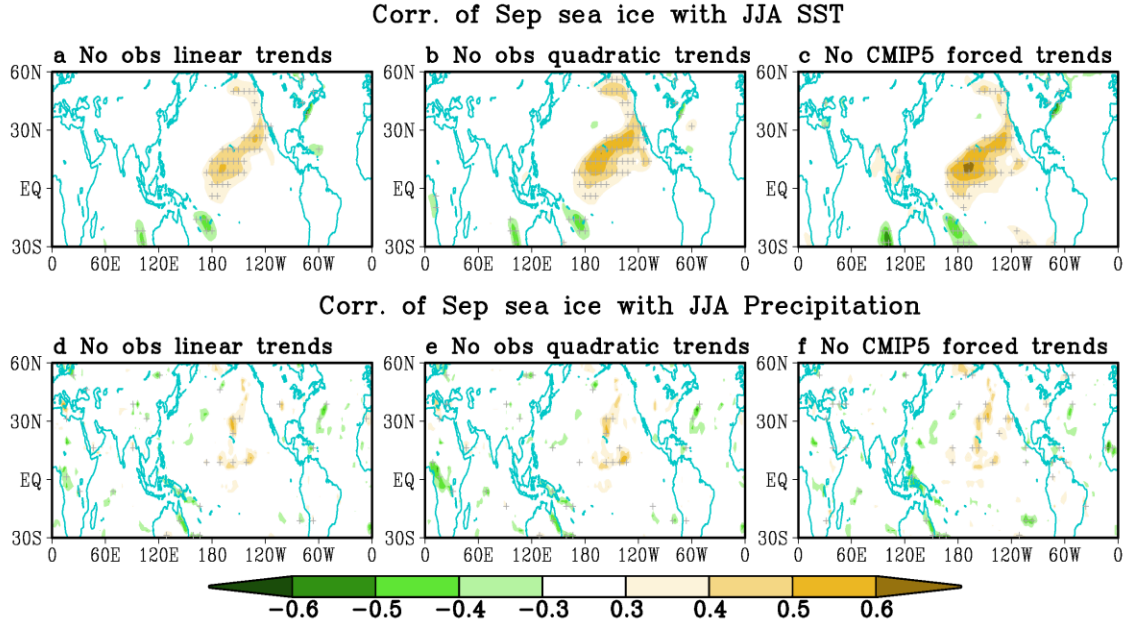
**Fig. 1.** (a) The first row shows NSIDC September sea ice area index (1979-2017, unit: million km<sup>2</sup>, black line) and its linear trend from 2007 to 2017 (blue line), simulated September sea ice area index from CMIP5, LENS and Grand-Ensemble (1979-2017, unit: million km<sup>2</sup>, grey lines), and observed ERA-I JJA Z200 (unit: m, the sign of Z200 is reversed) over Greenland (denoted as the black dot in the left panel of the third row) and ERA-I JJA surface DLR (unit: Wm<sup>-2</sup>, the sign of DLR is reversed) in the Arctic averaged over the area north of 70°N. All simulated September sea ice area indices are shown beginning at the same initial area as observations for visual comparison. (b) The second row shows all possible linear trends 11 years (denoted by triangles) or longer in NSIDC Arctic September sea ice area (blue dots, unit: million km<sup>2</sup> per decade). The time axis indicates the starting year of decadal-long periods. The period with the fastest decline is 2002-2012 and the slowest decline is observed from 2007-2017. The third row shows the linear trends in ERA-I JJA Z200 (contour, unit: m per decade) and September sea ice concentration (shading, unit: % per decade) for (left panel) 1979-2006 and the average from (middle panel) 2007-2012 and (right panel) 2013-2017 minus the 39 year (1979-2017) long-term average. The fourth row shows the zonal mean latitude-vertical profile of ERA-I JJA linear trends of height (red/dashed blue contour, unit: m/decade), temperature (shading, unit: °C per decade) and humidity (purple contour, unit: g per kg per decade) for (left) 1979-2006 and anomalies from the long term (1979-2017) average for 2007-2012 (middle) and (right) 2013-2017.



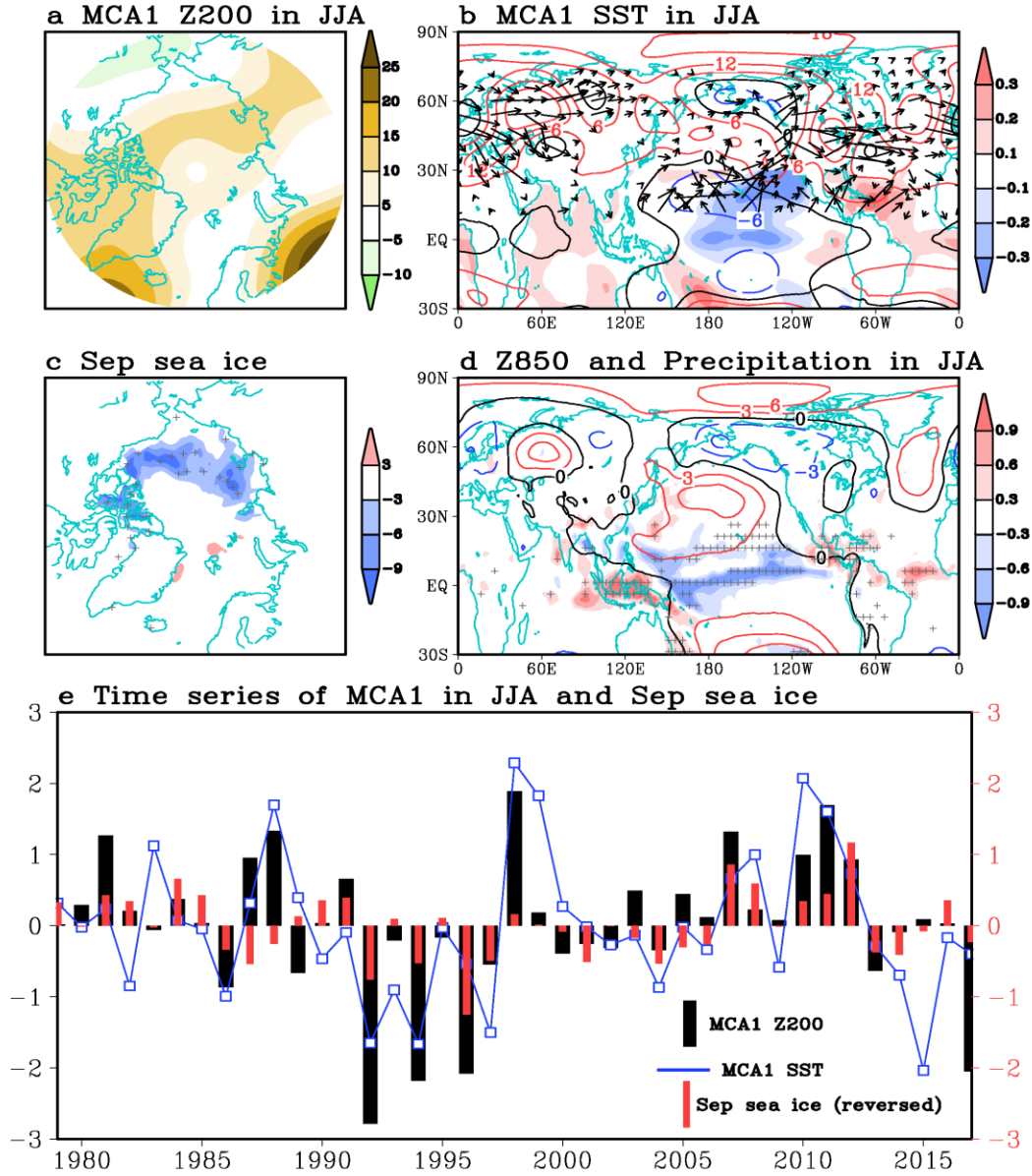
**Fig. 2.** (a) Detrended September total sea ice area index (solid black line, unit: million km<sup>2</sup>), JJA Z200 over Greenland (dashed red line, unit: m) and JJA DLR over the Arctic (dashed purple line, W per m<sup>2</sup>) from 1979 to 2017 derived from Fig.1a. Sign of JJA Z200 and DLR are reversed. Correlation of detrended JJA zonal mean (b) geopotential height, (c) temperature and (d) specific humidity with detrended September total sea ice area index from 1979 to 2017.



**Fig. 3.** Upper panels show the linear trend in ERA-I JJA (a) Z200 (unit: m per decade) and (b) JJA SST from ERSST (shading, unit:  $^{\circ}\text{C}$  per decade), 200 hPa velocity potential (red solid/blue dashed contour, unit:  $10^6 \text{ m}^2/\text{s}$  per decade) and precipitation (black/purple solid contours, unit: 0.5 mm per decade, purple contours indicate decreased precipitation) for 1979-2006. Middle panels show the 2007-2012 average minus the 1979-2017 long term average in (c) JJA Z200 (unit: m) and (d) JJA SST (shading, unit:  $^{\circ}\text{C}$ ), 200 hPa velocity potential (red/ dashed blue contour, unit:  $10^6 \text{ m}^2/\text{s}$ ) and precipitation (black/purple contours, unit: 1mm per decade). Lower panels show the 2013-2017 average minus the 1979-2017 long term average in (e) JJA Z200 (unit: m) and (f) JJA SST (shading:  $^{\circ}\text{C}$ ), 200hPa velocity potential (red/dashed blue contour, unit:  $10^6 \text{ m}^2/\text{s}$ ) and precipitation (black/purple contours, unit: 1 mm per decade). Positive velocity potential (red contour) indicates a convergence. Thin black contours indicate positive precipitation trends and anomalies.



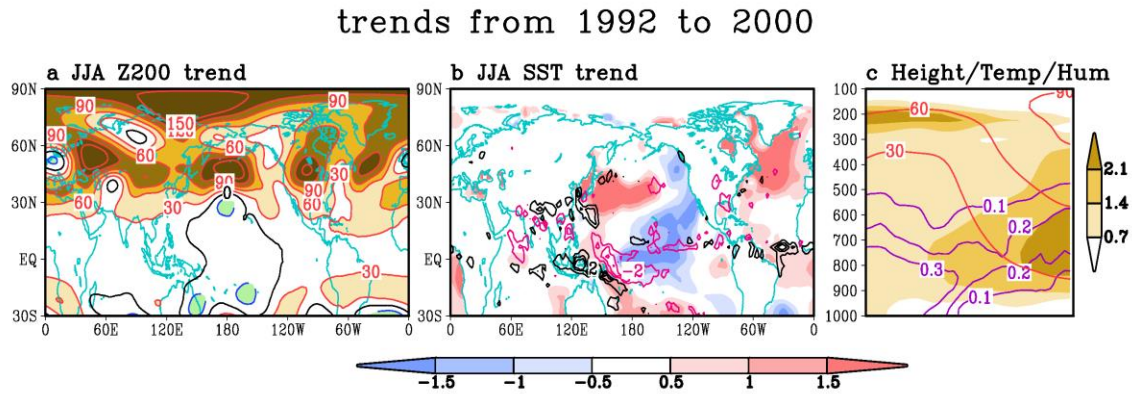
**Fig. 4.** Correlation map of (a,d) linearly and (b,e) quadratically detrended JJA sea surface temperatures (upper panels) and GPCP precipitation (lower panels) with September sea ice area from 1979-2017. Correlation map with the (c,f) ensemble mean CMIP5 forced trends removed from both JJA SST/precipitation and the September total sea ice area index. Stippling indicates statistically significant correlation at the 95% confidence level (See 2.6).



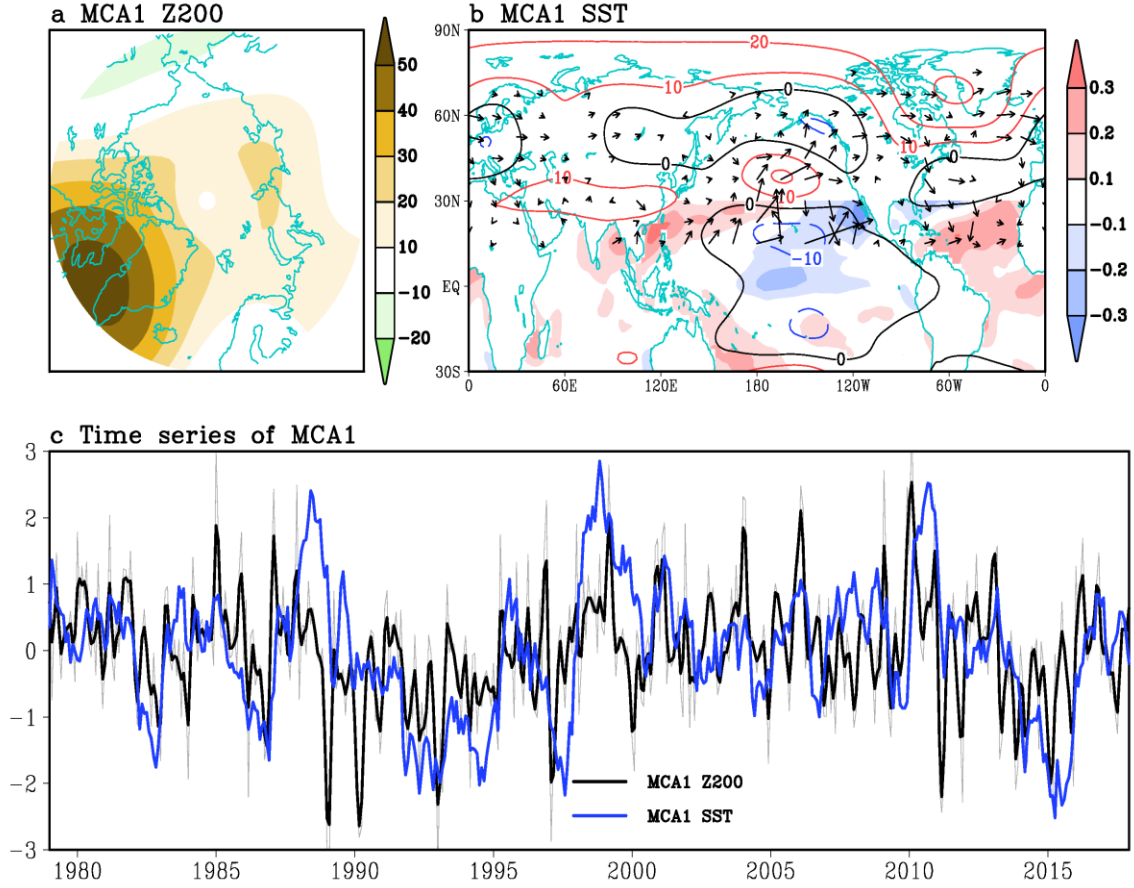
**Fig. 5.** Leading Maximum Covariance Analysis mode from 1979-2017 between detrended ERA-I (a) JJA 200 hPa geopotential height in the Arctic (60°N-90°N) and b) JJA SST in the tropics (30°S-30°N, shading) and their corresponding time series (e). In (b), contours indicate JJA Z200 regressed onto the first SST mode time series. Arrow vectors in (b) represent Plumb wave flux activity associated with the regressed Z200 pattern. The first mode accounts for 48% of the co-variance and the correlation between the time series of the Z200 and SST mode is 0.66, which is statistically significant at the 95% confidence level. (c) Regressed September sea ice concentration pattern associated with the leading Z200 mode. (d) Regressed JJA Z850 (contours, unit: m) and precipitation (shading, unit: mm/day) pattern associated with the leading SST mode. Gray stippling indicates statistically significant regressed (c) sea ice and (d) precipitation values at the 95% confidence level. (e) Leading MCA1 Z200 (black bars), MCA1 SST (blue line) time series



and total September sea ice area (red bars, sign reversed). We define the PARC as MCA1 shown here.

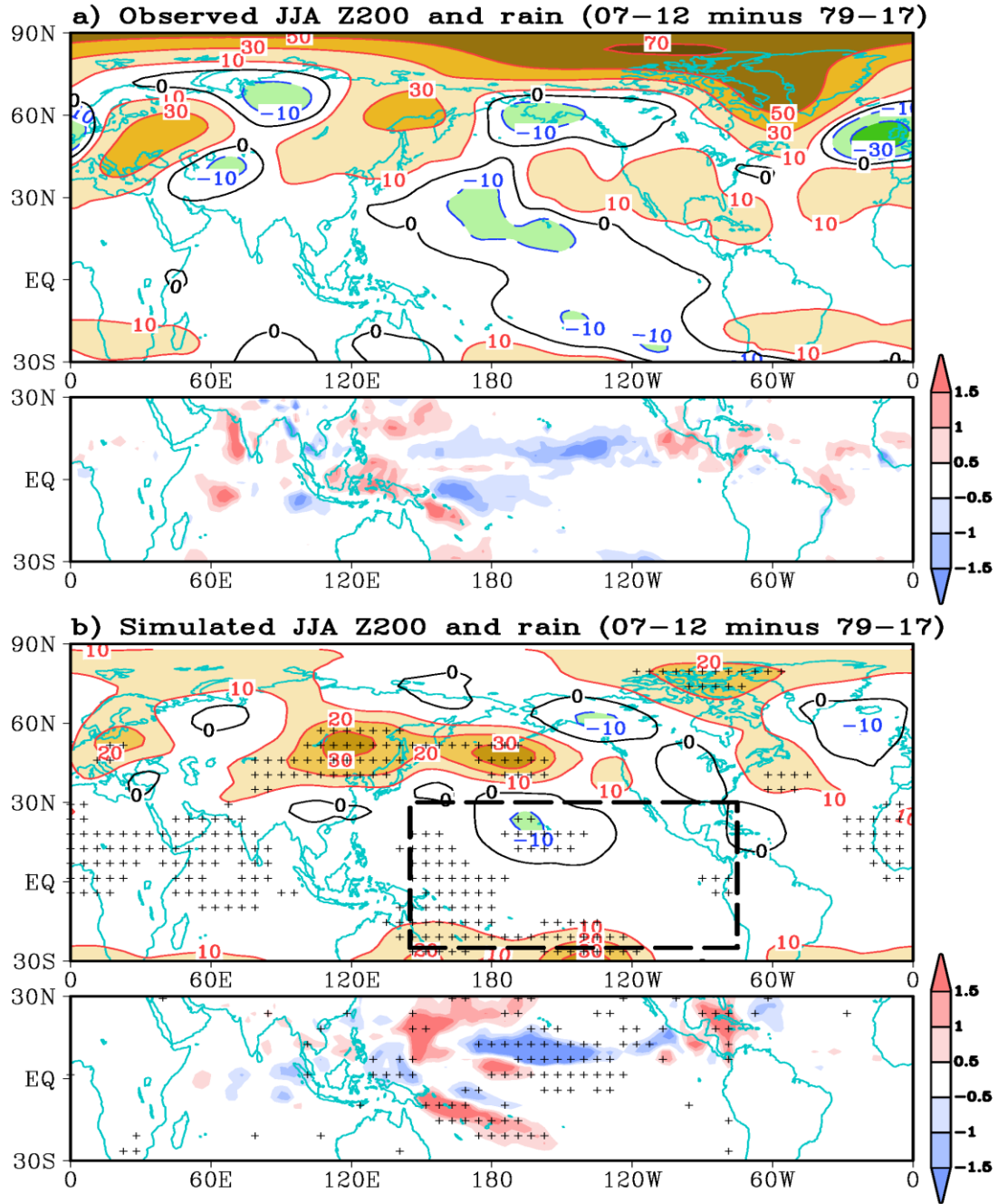


**Fig. 6.** The linear trend in (a) ERA-I JJA 200hPa geopotential height (unit: m per decade) for 1992-2000. The linear trend in (b) ERSST JJA SST (shading, unit: °C per decade) and GPCP precipitation (thin contours, unit: 1 mm per decade) for 1992-2000. Black contours indicate positive precipitation trends. The linear trend in (c) ERA-I JJA zonal mean geopotential height (shading, unit: m per decade), temperature (red contours, unit: °C per decade) and specific humidity (purple contours, unit: g per kg per decade) for 1992-2000.

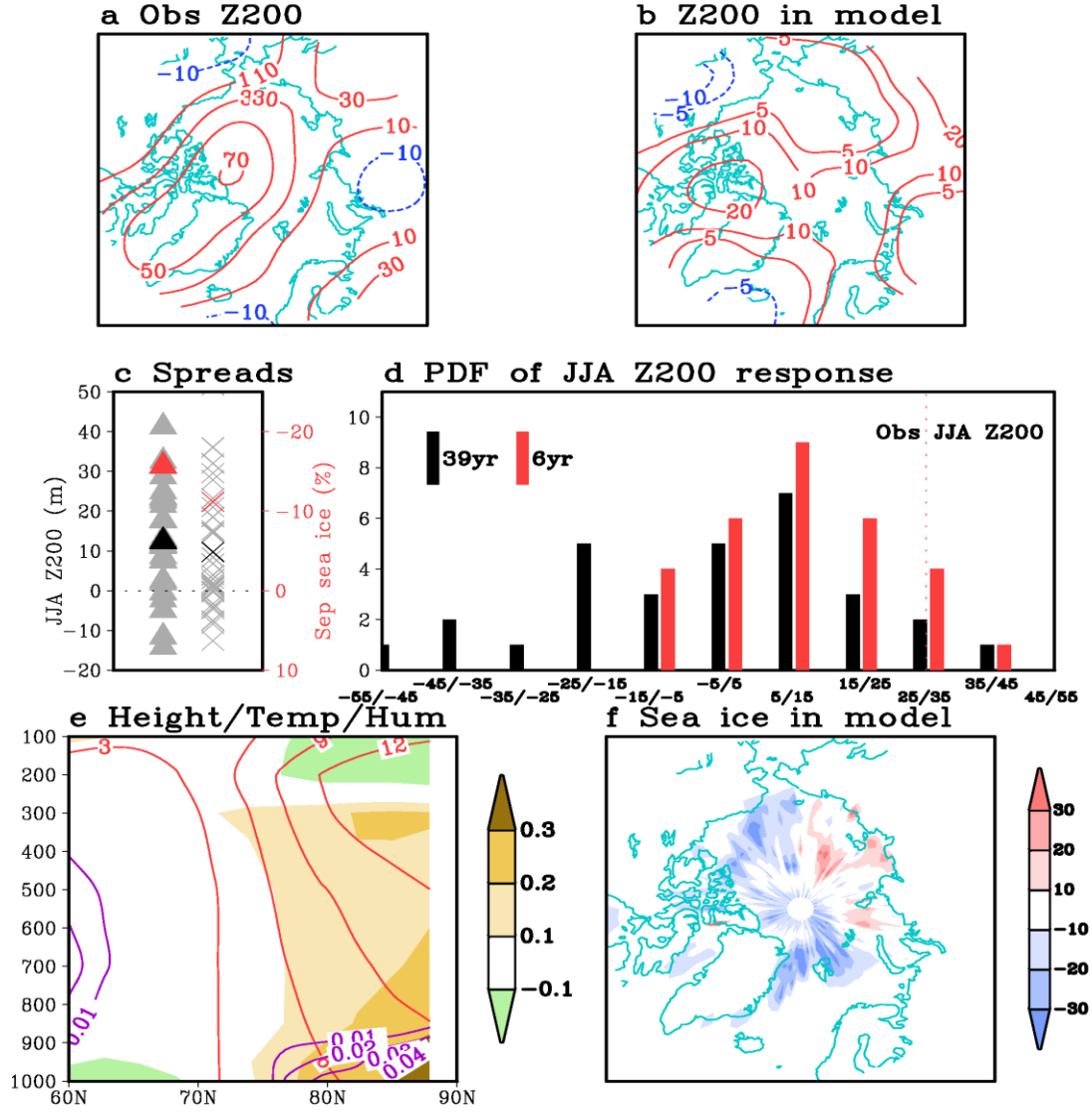


**Fig. 7.** Same as Fig. 5 but showing detrended monthly 200 hPa geopotential height in the Arctic (60°N-90°N, shading in (a) and tropical SST (30°S-30°N, shading in b) from 1979-2017 and their corresponding time series (c). Shown are the spatial projections for monthly Z200 regressed onto the first SST mode time series (contour in b). Arrow vectors in (b) represent Plumb wave flux activity associated with the regressed Z200 pattern. The climatological annual cycles and linear trends are removed first from the two fields before the MCA calculation. The first mode accounts for 58% of the co-variance and the correlation between the time series of the Z200 and SST mode is 0.36, which is statistically significant at the 5% confidence level considering that the effective sample size (based on Eq. 1) is around 200 monthly values. In (c), the dark black curve is a three-month running mean of the monthly time series of MCA1-Z200 (gray).



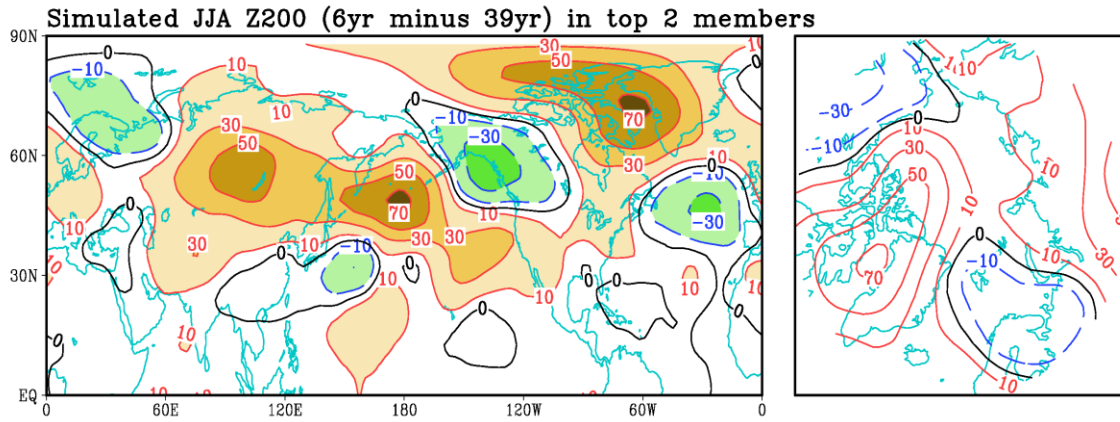


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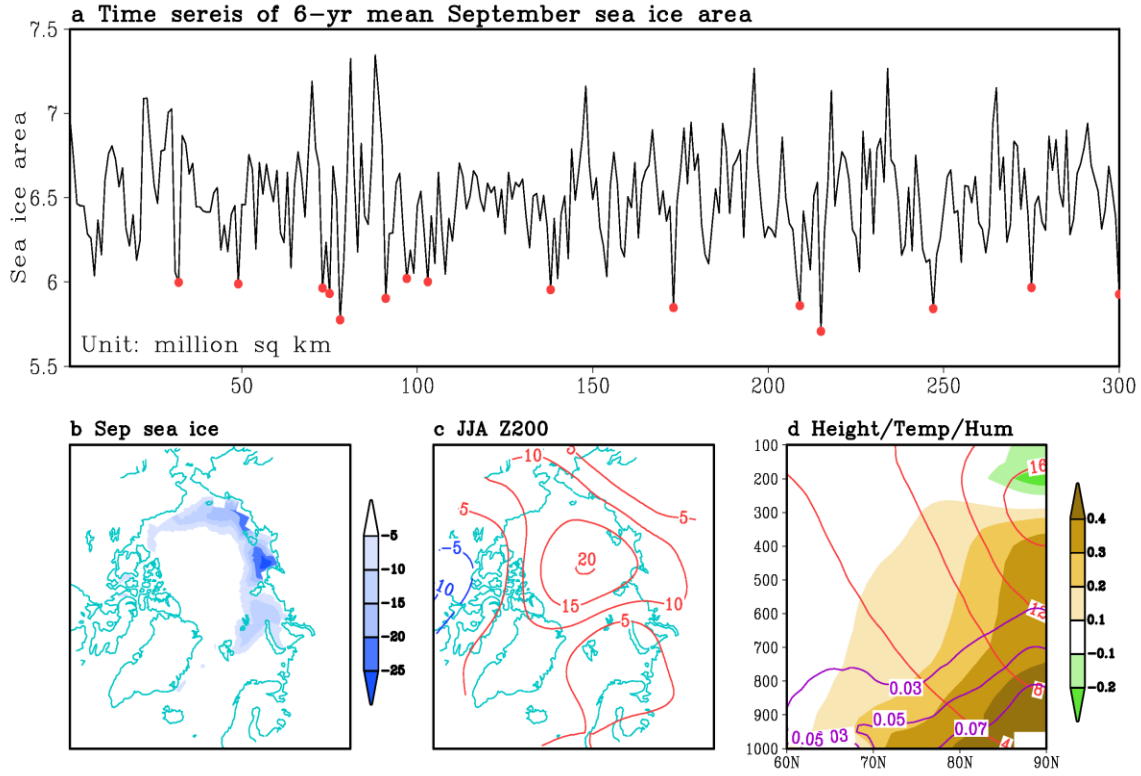


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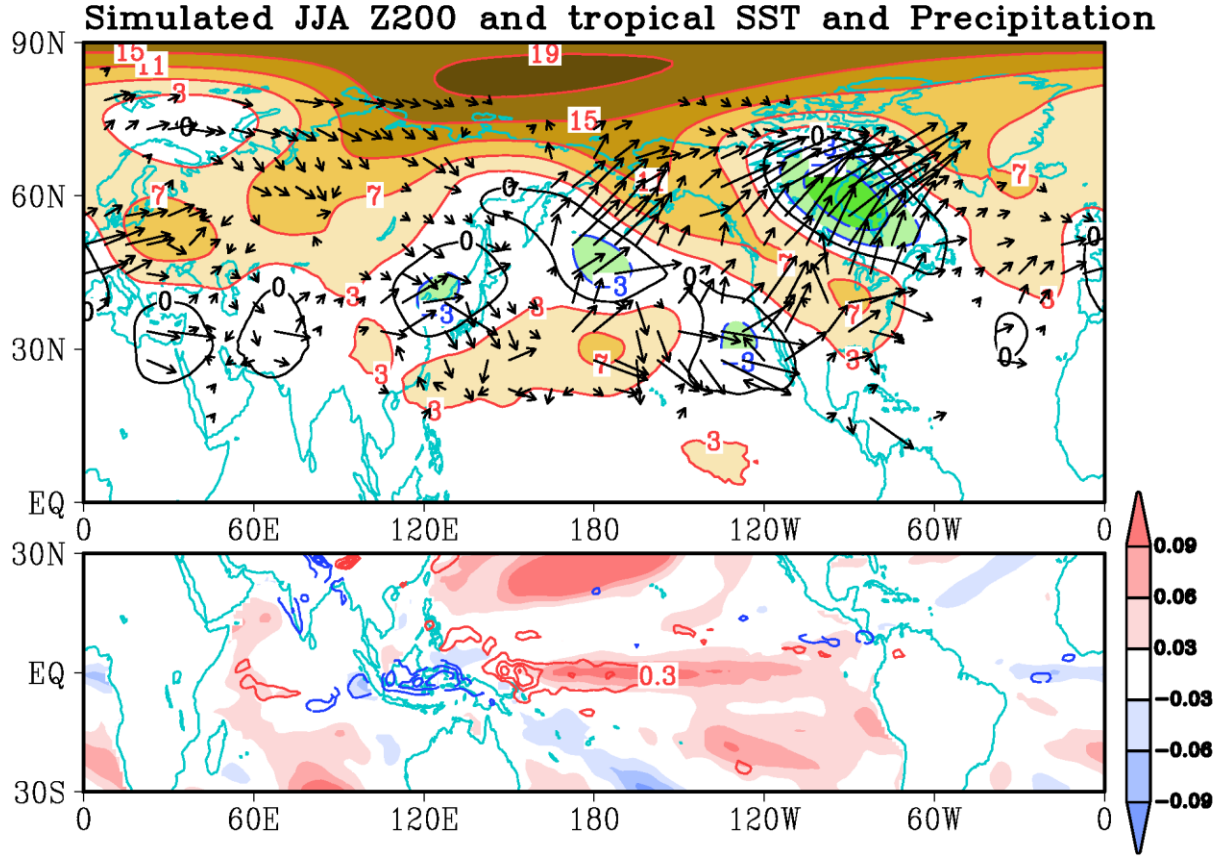
between the two experiments (07-12 minus 79-17). (f) September sea ice concentration response (unit: %) from the experiments (07-12 minus 79-17).



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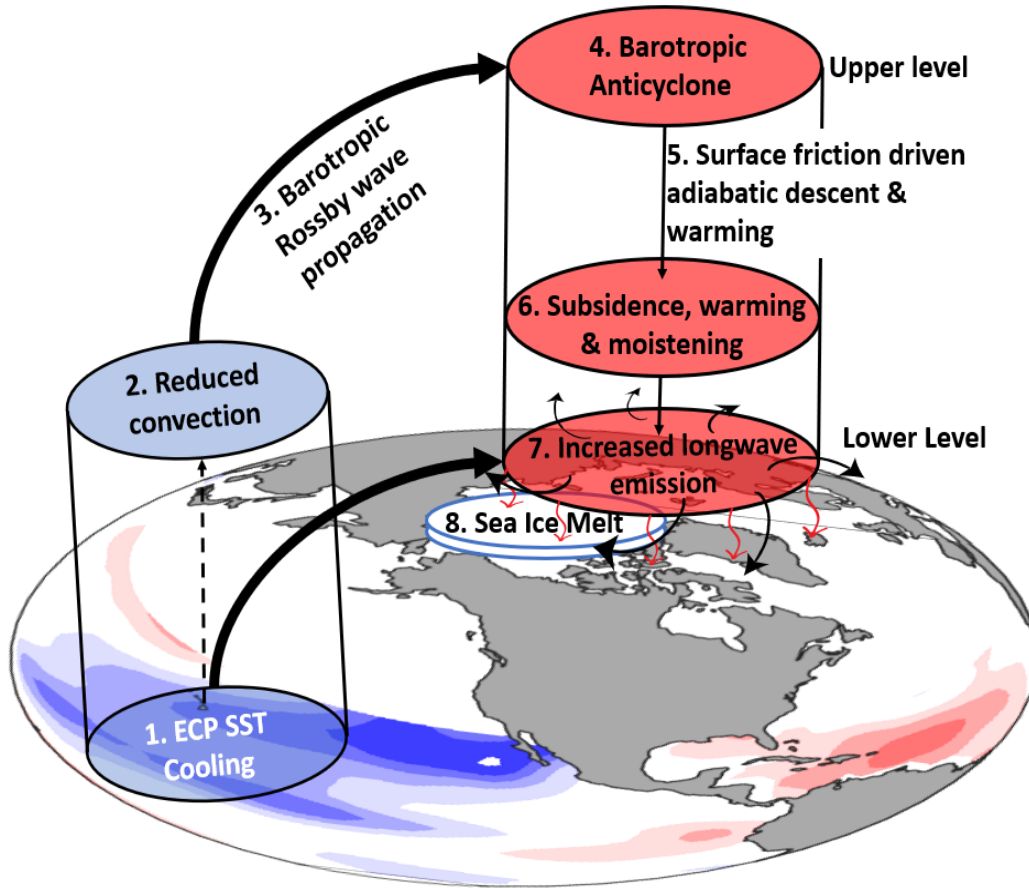


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## The summer PARC mechanism



**Fig. 13.** A schematic diagram summarizing the formation mechanism of the PARC. Shading shows the leading SST mode derived from MCA (derived from Fig. 5b), exhibiting a SST cooling over the ECP. The formation of the positive phase of the PARC could be understood as an integrated process originating from the tropics: (1) cool SST anomalies in the eastern-central Pacific lead to (2) reduced local convection; Reduced convection generates anomalous upper level divergence, that further creates (3) barotropic Rossby wave train propagation along a great circle path from the tropical Pacific to the Arctic, favoring a (4) barotropic anticyclone centered over northeastern Canada and Greenland and extending into the Arctic over the sea ice; (5) At the surface, friction causes anticyclonic winds (denoted as black thin arrows in the Arctic) to diverge outward, leading to downward movement of air to conserve mass; (6) As the air descends, it adiabatically warms, allowing for it to hold more moisture and (7) the combination of warming and moistening leads to increased emission of longwave radiation (denoted as thin red arrows above sea ice). (8) Melting of sea ice is primarily driven by this emission of longwave radiation resulting from a combination of warming and moistening of the lower Arctic atmosphere through the generation of the PARC.